

PATENT

15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lars R. Stromme et al.

Atty Dkt: 47171-00268USC
(CUMM:268)

*Terminal Disclaimers
(App'd) 9/2/02
Disclaimers
Atty*

Serial No.: 09/607,019

Group Art: 3653

Filed: June 29, 2000

Examiner: Jeffrey A. Shapiro

Title: APPARATUS WITH TWO OR
MORE POCKETS FOR DOCUMENT
PROCESSING

CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being submitted via facsimile to Examiner Jeffrey A. Shapiro, Group No. 3653 at the U.S. Patent Trademark Office, (.03) 308-2571 on July 29, 2002.

Adriane White

Adriane White

COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Attention: Box Responses- Fee

HC 00*01 110.00 CH 881:01 TO
07/29/2002 ENRMANN 00000005 100447 09607019

**TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL
OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTION**

Dear Sir:

The owner, Cummins-Allison Corp., of one hundred (100) percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §§ 154-156 and 173, as presently shortened by any terminal disclaimer filed prior to the grant of any patent granted on co-pending U.S. Application Nos. 09/542,487 and 09/541,170 of any patent issued from the co-pending U.S. Application Nos. 09/542,487 and 09/541,170 issuing prior to the issuance of any patent granted on the instant application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and are commonly owned. This agreement

runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154-156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically, the Assignment of the instant application (as well as the two applications identified above) to Cummins-Allison Corp., which was submitted to the U.S. Patent and Trademark Office on January 8, 1998, for recordal, a copy of which is attached hereto, and certifies that, to the best of his knowledge and belief, title of the instant application (as well as the two applications identified above) is in the name of Assignee, Cummins-Allison Corp.

For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

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Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The Commissioner is hereby authorized to charge the fee for this Terminal Disclaimer (\$110.00) to Deposit Account No. 10-0447 (47171-00268USC1). A duplicate copy of this Terminal Disclaimer is enclosed for that purpose.

Respectfully submitted,



Date: July 29, 2002

John C. Gatz
Reg. No. 41,774 ✓
Jenkins & Gilchrist, P.C.
225 West Washington Street, Suite 2600
Chicago, IL 60606-3418
(312) 425-3900

Attorneys for Applicants

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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

SELL ASSIGN AND TRANSFER to CUMMINS-ALLISON CORP. (the "Assignee"), a corporation of Indiana having a place of business at 891 Feehanville Drive, Mt. Prospect, Illinois 60056, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled Method and Apparatus for Document Processing, filed on May 28, 1997 under Serial No. 08/864,423, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States:

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee:

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned:

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements:

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

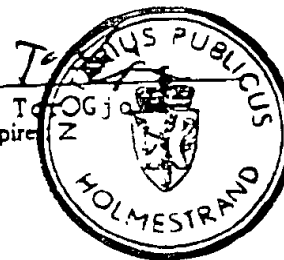
(Signature) Lars R. Stromme Date 12-9-1997
Name: Lars R. Stromme

CITY OF Holmestrand)
) ss.
COUNTRY OF Norway)

BEFORE ME, the undersigned authority, on this 9th day of december, 1997, personally appeared Lars R. Stromme known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

(seal)

Notary Public To
My Commission Expires Z



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(Signature) Matthew L. Anderson Date Dec 4, 97
Name: Matthew L. Anderson

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997, personally appeared Matthew L. Anderson known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Bradford T. Graves Date 12/4/97
Name: Bradford T. Graves

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997, personally appeared Bradford T. Graves known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) William J. Jones Date Dec. 4, 97
Name: William J. Jones

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997, personally appeared William J. Jones known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



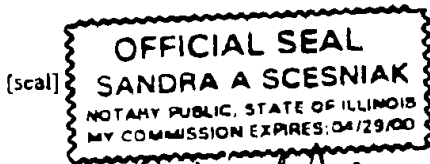
Sandra A. Scesniak
Notary Public
My Commission Expires:

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(Signature) Robert J. Klein Date 12/4/97
Name: Robert J. Klein

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December,
personally appeared Robert J. Klein known to me to be the person whose names are subscribed to the foregoing
instrument and acknowledged to me that he executed the same of his own free will for the purposes and
consideration therein expressed.



Sandra A Scesniak
Notary Public
My Commission Expires:

(Signature) Richard A Mazur Date 12-4-97
Name: Richard A. Mazur

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Richard A. Mazur known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A Scesniak
Notary Public
My Commission Expires:

(Signature) Douglas U. Mennie Date 12/3/97
Name: Douglas U. Mennie

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 3 day of December, 1997,
personally appeared Douglas U. Mennie known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A Scesniak
Notary Public
My Commission Expires:

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(Signature) Mark C. Munro Date 12-4-97
Name: Mark C. Munro

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997, personally appeared Mark C. Munro known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:



(Signature) Heinz W. Schreiter Date 12-4-97
Name: Heinz W. Schreiter

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997, personally appeared Heinz W. Schreiter known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:



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* R4P Cum 124
KIH/GAT CHICAGO



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 31, 1998

PTAS



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ARNOLD, WHITE & DURKEE
STEPHEN G. RUDISILL
P.O. BOX 4433
HOUSTON, TX 77210

SEP 1 1998

CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY., SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/12/1998

REEL/FRAME: 8890/0809
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
STROMME, LARS R.

DOC DATE: 12/07/1997

ASSIGNOR:
ANDERSON, MATTHEW L.

DOC DATE: 12/07/1997

ASSIGNOR:
GRAVES, BRADFORD T.

DOC DATE: 12/07/1997

ASSIGNOR:
JONES, WILLIAM J.

DOC DATE: 12/07/1997

ASSIGNOR:
KLEIN, ROBERT J.

DOC DATE: 12/07/1997

ASSIGNOR:
MAZUR, RICHARD A.

DOC DATE: 12/07/1997

ASSIGNOR:
MENNIE, DOUGLAS U.

DOC DATE: 12/07/1997

CHECKED BY:
1ST CHECK [initials]
2ND CHECK [initials]

REC'D - A.W.D.

SEP 0 4 1998

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8890/0809 PAGE 2

ASSIGNOR:
MUNRO, MARK C.

DOC DATE: 12/07/1997

ASSIGNOR:
SCHREITER, HEINZ W.

DOC DATE: 12/07/1997

ASSIGNEE:
CUMMINS-ALLISON CORP.
891 FEEHANVILLE DRIVE
MT. PROSPECT, ILLINOIS 60056

SERIAL NUMBER: 08864423
PATENT NUMBER:

FILING DATE: 05/28/1997
ISSUE DATE:

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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08-18-1998

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U.S. Department of Commerce
Patents and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original copy of the

MRO 1-12-98

1. Name of conveying party(ies):

Lars R. Seronim
Matthew L. Anderson
Bradford T. Graves
William J. Jones
Robert J. Klein
Richard A. Mazur
Douglas U. Mennie
Mark C. Munro
Heinz W. Schreiter

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignments Merger
 Security Agreement Change of Name
 Other

Execution Date: December 2, 1997

2. Name and address of receiving party(ies):

Name: Cummins-Allison Corp.
Internal Address: _____
Street Address: 891 Featherwills Drive
City: Mt. Prospect State: IL Zip: 60056

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s): 08/864,423

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/864,423

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen G. Rudjill, Esq.
Internal Address: Arnold, White & Durkin
Street Address: P.O. Box 4433
City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: One

7. Total fee (37 C.F.R. 3.41) \$ 40.00

Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul R. Kitch
Name of Person Signing

[Signature]
Signature

1/2/98
Date

Total number of pages including cover sheet, attachments and document 6

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Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/21/1998 JSMBRZT 00000060 08654423 40.00 OP
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(AUGUST 20, 1993)
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